

UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

PAUL ROBERTS,
Plaintiff,
v.
GAVIN NEWSOM, et al.,
Defendants.

Case No. 1:21-cv-00506-KES-CDB (PC)

**FINDINGS AND RECOMMENDATIONS
TO GRANT IN PART AND DENY IN
PART DEFENDANTS' MOTION TO
PARTIALLY DISMISS PLAINTIFF'S
FIRST AMENDED COMPLAINT**

(Doc. 34)

14-DAY OBJECTION PERIOD

Plaintiff Paul Roberts is a state prisoner proceeding pro se in this civil rights action brought pursuant to 42 U.S.C. § 1983.

I. INTRODUCTION

Following screening, this action proceeds on the following claims as asserted in Plaintiff's first amended complaint: (a) Eighth Amendment deliberate indifference to serious medical needs claims against Defendants CIM Warden, Delgadillo, and Gilman (claim one); (b) Eighth Amendment failure to protect claims against Defendants CIM Warden, Delgadillo, Farooq, Gilman, Lemus, and Torres (claim two); (c) Eighth Amendment failure to protect claims against Defendants Gonzales and Pilkerton (claim three); (d) an equal protection violation against Defendant Pilkerton (claim three); (e) a due process violation against Defendant Pilkerton (claim

three); (f) Eighth Amendment excessive force claims against Defendants John Doe #1 and John Doe #2 (claim four); and (g) Eighth Amendment failure to intervene claim against Defendant Pilkerton (claim four). (*See* Doc. 25.)

Following service of process, on November 22, 2023, Defendants Houston,¹ Gilman, Delgadillo, Lemus, Farooq, Torres, Pilkerton, and Gonzales filed a motion to partially dismiss Plaintiff's first amended complaint. (Doc. 34.) Plaintiff opposed (Doc. 36) and Defendants replied (Doc. 37).

II. APPLICABLE LEGAL STANDARDS

Federal Rule of Civil Procedure 12(b)(1)

Federal Rule of Civil Procedure 12(b)(1) allows a defendant to raise the defense, by motion, that the court lacks jurisdiction over the subject matter of an entire action or of specific claims alleged in the action. "Because standing and mootness both pertain to a federal court's subject-matter jurisdiction under Article III, they are properly raised in a motion to dismiss under Federal Rule of Civil Procedure 12(b)(1), not Rule 12(b)(6)." *White v. Lee*, 227 F.3d 1214, 1242 (9th Cir. 2000).

There are two types of motions to dismiss for lack of subject matter jurisdiction: a facial attack, and a factual attack. *Thornhill Publ'g Co. v. Gen. Tel. & Elec. Corp.*, 594 F.2d 730, 733 (9th Cir. 1979). "In a facial attack, the challenger asserts that the allegations contained in a complaint are insufficient on their face to invoke federal jurisdiction. By contrast, in a factual attack, the challenger disputes the truth of the allegations that, by themselves, would otherwise invoke federal jurisdiction." *Safe Air for Everyone v. Meyer*, 373 F.3d 1035, 1039 (9th Cir. 2004).

When a party makes a facial attack on a complaint, the attack is unaccompanied by supporting evidence, and it challenges jurisdiction based solely on the pleadings. *Id.* If the motion to dismiss constitutes a facial attack, the Court must consider the factual allegations of the complaint to be true and determine whether they establish subject matter jurisdiction. *Savage v. Glendale High Union Sch. Dist. No. 205*, 343 F.3d 1036, 1039 n.1 (9th Cir. 2003). In the case of a facial attack, the motion to dismiss is granted only if the nonmoving party fails to allege an

¹ Defendant Houston was served as the warden at the California Institution for Men. (*See* Doc. 34, n.1.)

1 element necessary for standing. *Spokeo, Inc. v. Robins*, 578 U.S. 330, 338 (2016).

2 In the case of a factual attack, district courts “may review evidence beyond the complaint
3 without converting the motion to dismiss into a motion for summary judgment.” *Safe Air for*
4 *Everyone*, 373 F.3d at 1039. In that instance, “[n]o presumptive truthfulness attaches to plaintiff’s
5 allegations.” *Thornhill*, 594 F.2d at 733 (internal citation omitted). The burden to demonstrate
6 subject matter jurisdiction is on the party asserting the claim. *See Harris v. KM Indus., Inc.*, 980
7 F.3d 694, 699 (9th Cir. 2020). And where the moving party makes a factual challenge to the
8 court’s subject matter jurisdiction by offering affidavits or other evidence in support of the
9 motion, the opposing or non-moving party must present similar evidence “necessary to satisfy the
10 burden of establishing that the court, in fact, possesses subject matter jurisdiction.” *St. Clair v.*
11 *City of Chico*, 880 F.2d 199, 201 (9th Cir. 1989). If the nonmoving party fails to meet its burden
12 and the court determines that it lacks subject matter jurisdiction, the court must dismiss the action.
13 Fed. R. Civ. P. 12(h)(3).

14 ***Federal Rule of Civil Procedure 12(b)(6)***

15 A motion to dismiss under Rule 12(b)(6) “tests the legal sufficiency of a claim.” *Navarro*
16 *v. Block*, 250 F.3d 729, 732 (9th Cir. 2001). In resolving a 12(b)(6) motion, the Court’s review is
17 generally limited to the “allegations contained in the pleadings, exhibits attached to the complaint,
18 and matters properly subject to judicial notice.” *Manzarek v. St. Paul Fire & Marine Ins. Co.*, 519
19 F.3d 1025, 1030-31 (9th Cir. 2008) (internal quotation marks & citations omitted). Dismissal is
20 proper if there is a “lack of a cognizable legal theory or the absence of sufficient facts alleged
21 under a cognizable legal theory.” *Balistreri v. Pacifica Police Dep’t*, 901 F.2d 696, 699 (9th Cir.
22 1988) (citation omitted).

23 “To survive a motion to dismiss, a complaint must contain sufficient factual matter,
24 accepted as true, to ‘state a claim to relief that is plausible on its face.’” *Ashcroft v. Iqbal*, 556
25 U.S. 662, 678 (2009) (quoting *Bell Atl. Corp. v. Twombly*, 550 U.S. 544, 570 (2007)). The Court
26 “accept[s] as true all well-pleaded allegations of material fact, and construe[s] them in the light
27 most favorable to the non-moving party.” *Daniels-Hall v. Nat’l Educ. Ass’n*, 629 F.3d 992, 998
28 (9th Cir. 2010) (citation omitted). In addition, the Court construes pleadings of pro se prisoners

liberally and affords them the benefit of any doubt. *Hebbe v. Pliler*, 627 F.3d 338, 342 (9th Cir. 2010) (citation omitted). However, “the liberal pleading standard ... applies only to a plaintiff’s factual allegations,” not his legal theories. *Neitzke v. Williams*, 490 U.S. 319, 330 n.9 (1989).

III. DISCUSSION

The Court will address Defendants’ arguments in their order of presentation.

A. *Compensatory Damages and Injunctive Relief Based on COVID-19*

The Parties’ Positions

Defendants contend Plaintiff’s compensatory damages and injunctive relief claims based on COVID-19 should be dismissed for a lack of subject matter jurisdiction. (Doc. 34 at 16.) More specifically, Defendants assert Plaintiff’s first amended complaint fails to allege any injury caused by Plaintiff’s exposure to COVID-19. (*Id.* at 17.) They argue Plaintiff does not allege he contracted the virus or suffered from any symptom caused by COVID-19. (*Id.*) On that basis alone, Defendants contend Plaintiff’s compensatory damages claim cannot proceed against any defendant based on his or her deliberate indifference to Plaintiff’s risk of COVID-19 infection. (*Id.*)

Plaintiff contends the Court has subject matter jurisdiction over these claims. (Doc. 36 at 4.) He maintains the Governor’s Executive Order N-33-20 “on its face [substantiates] a [substantial] higher risk and imminent future danger of contracting the highly deadly COVID 19 through excess exposure.” (*Id.* at 4.) Quoting from the Executive Order, Plaintiff asserts there can be no dispute that he and Defendants were subject to the Governor’s order and that Defendants cannot argue “ignorance of the Governor’s Executive Order.” (*Id.* at 4-5.) He maintains a state of emergency was declared due to those risks and that he alleged a likelihood of future harm by asserting “Defendant Gilman was ‘intending to inflict ...Pain’ by exposing Plaintiff to COVID 19.” (*Id.* at 5.) Plaintiff was afraid of contracting or spreading the virus. (*Id.*) Plaintiff states he alleged Defendants Delgadillo and Gilman intended to inflict pain and that he filed a grievance alleging numerous inmates were showing signs of COVID-19 as a result. (*Id.* at 6.) He argues he alleged severe headaches, deprivation of sleep, and a lack of oxygen to his brain in his first amended complaint. (*Id.*) He asserts that Defendants Houston, Gilman, Delgadillo, and Lemus

1 intended to subject him to pain by subjecting him to an unreasonable risk of serious harm
2 “through an unnecessary and unreasonable excessive exposure to COVID 19.” (*Id.*) Plaintiff
3 maintains Defendants Farooq and Torres also intended to inflict pain, and that Defendant Houston
4 was personally involved and “sustained a custom of allowing her staff to violate policy without
5 fear of disciplinary action,” and that policy was “directly contributable” to his pain. (*Id.*)

6 Plaintiff contends the case law cited by Defendants involve very different circumstances
7 than those present in this action. (*Id.* at 6-7.) Plaintiff states “physical injury was held
8 unconstitutional as applied to bar prisoner’s claim of mental and emotional damages, in absence
9 of injury” in a retaliation case filed in a Michigan district court and cites other out of circuit
10 authority. (*Id.* at 7-8.) Plaintiff argues “the World Pandemic was actively causing severe
11 respiratory difficulty[,] major organ damages and death” and that the Office of the Inspector
12 General issued a February 2021 report specifically finding CIM failed to properly follow “the
13 CCHCS COVID 19 contact training policy” (*Id.* at 8.) He maintains that “coupled with
14 Defendants flagrant violation” of the Executive Order and San Bernardino’s “county wide order
15 to wear facial coverings and CDCR’s policy and directives[,] there unquestionably existed an
16 immediate danger to Plaintiff at all times mentioned in the FAC.” (*Id.* at 9.) Plaintiff contends
17 case law “unquestionably supports” the Court’s subject matter jurisdiction for compensatory
18 damages and injunctive relief “for the court to reverse the guilty finding of the disciplinary
19 offense that is unlawful.” (*Id.*) Plaintiff concludes by stating the Court has “and should exercise
20 its discretion and delay ruling on” Defendants’ motion. (*Id.*)

21 Defendants contend in reply to Plaintiff’s opposition that none of his assertions establish
22 that the Court has subject matter jurisdiction over Plaintiff’s compensatory damages or injunctive
23 relief claims based on an alleged disregard of Plaintiff’s risk of COVID-19 infection. (Doc. 37 at
24 2.) They maintain Plaintiff’s assertions do not evince a past injury related to COVID-19, and that
25 a previous risk of infection does not establish an injury, that headaches, sleep deprivation and
26 oxygen deprivation are insufficient to establish a COVID-19 injury because the first amended
27 complaint “specifies that the deprivation of Plaintiff’s CPAP device (not COVID-19) caused
28 those problems.” (*Id.* at 3.) Defendants contend the purported intent to cause Plaintiff pain by

Defendants is irrelevant because, even if Defendants intended to cause pain, the first amended complaint does not allege an injury based on a defendant's alleged disregard of Plaintiff's COVID-19 infection risk. (*Id.*) Next, Defendants maintain Plaintiff's opposition "categorically fails to argue" that this Court has subject matter jurisdiction over Plaintiff's COVID-19 related injunctive relief claims because the first amended complaint alleges only past conduct. (*Id.* at 3-4.) Because the operative complaint does not allege any present or likely future conduct or identify any present or likely COVID-19 harm from past conduct, Defendants maintain the Court lacks subject matter jurisdiction over the injunctive relief claims. (*Id.* at 4.)

Analysis

Here, Defendants present a facial challenge to this Court's subject matter jurisdiction as it relates to Plaintiff's requests for compensatory damages and injunctive relief. Thus, the Court considers the allegations in Plaintiff's first amended complaint to be true for purposes of its determination. *Savage*, 343 F.3d at 1039 n.1.

Plaintiff's first amended complaint asserts he is 62 years old, mobility impaired, and suffers from COPD with emphysema, congestive heart failure, hypertension, obesity, atrial fibrillation, and is at high risk for serious illness or death from a COVID-19 infection. (Doc. 20 at 8.) Plaintiff contends that because he was unable to use his CPAP machine in a makeshift dorm lacking electrical outlets, he suffered severe headaches from a lack of oxygen to his brain, sleep deprivation, and extreme anxiety. (*Id.* at 8-9; *see also id.* at 18, 21.) Plaintiff's first amended complaint also asserts that when the CPAP electrical cord was confiscated on April 14, 2020, rendering his CPAP device inoperable, Plaintiff suffered severe headaches from a lack of oxygen to his brain, sleep deprivation, and extreme anxiety caused by not having access to and use of his CPAP device. (*Id.* at 12-13.) The cord was returned on April 30, 2020. (*Id.* at 13.)

Article III limits federal court jurisdiction to "cases and controversies." *Valley Forge Christian College v. Americans United for Separation of Church & State, Inc.*, 454 U.S. 464, 471, 102 (1982). A plaintiff cannot establish a case or controversy if a plaintiff cannot establish standing. *Steel Co. v. Citizens for a Better Env't*, 523 U.S. 83, 101, 118 (1998). To have Article III standing, a plaintiff must establish: "(1) [he] has suffered an 'injury in fact' that is (a) concrete

1 and particularized and (b) actual or imminent, not conjectural or hypothetical; (2) the injury is
2 fairly traceable to the challenged action of the defendant; and (3) it is likely, as opposed to merely
3 speculative, that the injury will be redressed by a favorable decision.” *Friends of the Earth, Inc. v.*
4 *Laidlaw Envtl. Servs.*, 528 U.S. 167, 180-81 (2000) (citing *Lujan v. Defenders of Wildlife*, 504
5 U.S. 555, 560 (1992)).

6 Other district courts have found the threat of future harm sufficient to establish standing
7 where plaintiff seeks an injunction. *See Martinez Franco v. Jennings*, 456 F. Supp. 3d 1193,
8 1197-98 (N.D. Cal. 2020) (finding plaintiff had standing to seek injunctive relief based risk of
9 contracting COVID-19 in an immigration detention center, citing *Helling v. McKinney*, 509 U.S.
10 25 (1993) [inmate stated Eighth Amendment claim based upon possible future harm to health, as
11 well as present harm, arising out of exposure to secondhand smoke]); *see also Williams v.*
12 *Pollard*, No. 21cv0055-CAB (BGS), 2022 WL 184552, at *6 (S.D. Cal. Jan. 19, 2022) (finding
13 plaintiff’s “ongoing emotional and physical effects from that failure including panic anxiety
14 attacks, migraine headaches, muscle cramps, sleep deprivation, and severe indigestion with
15 stomach pain and discomfort” not speculative; can be redressed by favorable decision, satisfying
16 “Article III’s injury in fact standing requirement”).

17 Here, the Court finds Plaintiff alleges sufficient facts to establish a case or controversy.
18 Plaintiff alleges that he suffered severe headaches from a lack of oxygen to his brain and sleep
19 deprivation due to an inability to use his CPAP machine. Admittedly, Plaintiff’s operative
20 complaint does not allege Plaintiff contracted COVID-19, nor does it expressly allege that he
21 suffered from COVID-19 symptoms. But Plaintiff’s inability to use his CPAP device is an actual
22 injury and is fairly traceable to the Defendants’ alleged conduct and can be redressed by a
23 favorable decision. *Friends of the Earth, Inc.*, 528 U.S. at 180-81.

24 In *Greer v. KCSO Administration*, the assigned magistrate judge recommended dismissal
25 for a lack of subject matter jurisdiction where the plaintiff failed “to show that he suffered an
26 injury in fact. He contends that the conditions of confinement at the Kern County jail where he
27 was incarcerated placed him ‘at risk of infection of COVID-19.’ ... This allegation fails to show
28 that Plaintiff suffered any actual, concrete harm. Plaintiff does not allege that he ever contracted

COVID-19 or that he suffered any symptoms associated with COVID-19.” *Greer*, No. 1:21-cv-00108-JLT (PC), 2021 WL 3857677, at *2 (E.D. Cal. Aug. 30, 2021), recommendation adopted, 2021 WL 4502818 (Oct. 1, 2021). The undersigned finds *Greer* distinguishable. There, the plaintiff alleged only emotional harm. *Id.*, at *1-2. Here, affording Plaintiff the benefit of every doubt, the Court is inclined to allow Plaintiff’s compensatory damages claim to proceed and finds Plaintiff has met the injury-in-fact requirement and has standing to assert his claim. *Friends of the Earth, Inc.* 528 U.S. at 180-81; *Hebbe*, 627 F.3d at 342 (affording plaintiff the benefit of any doubt).

Regarding future harm, the Supreme Court has stated: “We have great difficulty agreeing that prison authorities may not be deliberately indifferent to an inmate’s current health problems but may ignore a condition of confinement that is sure or very likely to cause serious illness or needless suffering the next week or month or year.” *Helling*, 509 U.S. at 33. In its earlier jurisprudence, the high court held inmates facing possible contraction of hepatitis and venereal disease could properly seek a remedy “even though it was not alleged that the likely harm would occur immediately and even though the possible infection might not affect all of those exposed.” *Id.* (citing *Hutto v. Finney*, 437 U.S. 678, 682 (1978)). “That the Eighth Amendment protects against future harm to inmates is not a novel proposition. The Amendment, as we have said, requires that inmates be furnished with the basic human needs, one of which is ‘reasonable safety.’” *Id.* It can be reasonably inferred from Plaintiff’s first amended complaint that he alleges future harm from exposure to COVID.

Next, Defendants challenge the Court’s jurisdiction over Plaintiff’s injunctive relief claims. Plaintiff’s first amended complaint seeks the following injunctive relief: to identify Plaintiff “as particularly vulnerable to contracting COVID and other communicable diseases because of his environment” and to “permanently ‘single cell status’ Plaintiff.” (Doc. 20 at 7.) As Defendants point out, the relief Plaintiff seeks is now moot. Plaintiff states he has been already identified as a high risk inmate as concerns COVID-19 (Doc. 20 at 8²) and was assigned single-

² Specifically, the first amended complaint states: “Plaintiff is an Armstrong Class Member and is identified as high risk for serious illness and death from COVID infection because of his medical condition and advanced age.”

cell status on April 30, 2020 (*id.* at 13), in the absence of any indication that either the vulnerability identification or the single-cell classification would be overturned or reversed.

A moot claim “is one where the [applicable] issues are no longer live or the part[y] lack[s] a legally cognizable interest in the outcome.” *Sample v. Johnson*, 771 F.2d 1335, 1338 (9th Cir. 1985) (citation omitted). “Federal courts lack jurisdiction to decide moot [claims] because their constitutional authority extends only to actual cases or controversies.” *Id.* (citing *Iron Arrow Honor Soc’y v. Heckler*, 464 U.S. 67, 70 (1983)). See *Brach v. Newsom*, 38 F.4th 6, 11-15 (9th Cir. 2022) (finding moot plaintiff’s request for injunction and declaratory judgment regarding California school reopening plan during COVID-19 pandemic because state had lifted all restrictions on school reopening); *Walter v. Delano*, No. 21-cv-05666-HSG, 2024 WL 11835464, at *1 (N.D. Cal. June 6, 2023) (“several cases have concluded that claims based on COVID-19 safety policies are moot and federal courts lack subject matter jurisdiction following the lifting of such restrictions”); *McLaughlin v. Tanner*, No. 13-6563-DEK, 2014 WL 6485644, at *1 (E.D. La. Nov. 18, 2014) (plaintiff’s claims challenging policy requiring that inmates in disciplinary confinement on extended lockdown remain in restraints while exercising outside of their cell rendered moot by plaintiff’s transfer to “a working cell” and finding plaintiff’s “claims for declaratory and injunctive relief are now moot, the Court no longer has subject matter jurisdiction to consider those claims”). In sum, Plaintiff has been identified as a high risk inmate as concerns COVID-19 and communicable diseases and attained single cell status on April 30, 2020. His claims for injunctive relief are now moot. *Sample*, 771 F.2d at 1338.

For the reasons given above, the Court will recommend the motion to dismiss for a lack of subject matter jurisdiction concerning Plaintiff’s compensatory damages claims be denied. Further, the Court will recommend the motion to dismiss for a lack of subject matter jurisdiction concerning Plaintiff’s injunctive relief claims be granted.

B. Injunctive Relief Against CIM Defendants

Defendants Houston, Gilman, Delgadillo, Lemus, Farooq, and Torres—the CIM Defendants—also contend they are entitled to dismissal of any claim for injunctive relief asserted against them because this Court lacks subject matter jurisdiction. (Doc. 34 at 19.) Specifically,

1 because Plaintiff is no longer housed at CIM and there are no facts to suggest he is likely to be
2 transferred back to CIM, the injunctive relief Plaintiff seeks is now moot. (*Id.*)

3 “To qualify as a case fit for federal-court adjudication, an actual controversy must be
4 extant at all stages of review, not merely at the time the complaint is filed.” *Davis v. Fed. Election*
5 *Comm’n*, 554 U.S. 724, 732-33 (2008) (internal quotation marks omitted). A case that becomes
6 moot at any point during the proceedings is “no longer a ‘Case’ or ‘Controversy’ for purposes of
7 Article III,” and is outside the federal courts’ jurisdiction. *United States v. Sanchez-Gomez*, 584
8 U.S. 381, 385-86 (2018) (quoting *Already, LLC v. Nike, Inc.*, 568 U.S. 85, 91 (2013)). For the
9 controversies capable of repetition yet evading review exception to the mootness doctrine to
10 apply, a plaintiff must show that (1) the challenged action is in its duration too short to be fully
11 litigated prior to cessation or expiration and (2) there is a reasonable expectation that the same
12 complaining party will be subject to the same action again. *Turner v. Rogers*, 564 U.S. 431, 439-
13 40 (2011). “[W]hen a prisoner is moved from a prison, his action will usually become moot as to
14 conditions at that particular facility.” *Nelson v. Heiss*, 271 F.3d 891, 897 (9th Cir. 2001).

15 Here, Plaintiff’s first amended complaint identified Defendants Torres, Gilman,
16 Delgadillo, Lemus, Warden Houston, and Farooq as employed at CIM. (*See* Doc. 20 at 4-5.)
17 However, Plaintiff is no longer incarcerated at CIM. Plaintiff is presently housed at the California
18 Health Care Facility, having transferred there from California State Prison-Corcoran in late 2022.
19 (*See* Doc. 23.) Plaintiff has not demonstrated a reasonable expectation that he will be returned to
20 CIM and subject to the same action again. Thus, Plaintiff’s injunctive relief claims against the
21 CIM Defendants are moot. *Turner*, 564 U.S. at 439-40; *Nelson*, 271 F.3d at 897; *see also Stine v.*
22 *Von Blankensee*, No. 22-16142, 2024 WL 1406428, at *1 (9th Cir. Apr. 2, 2024 [Memo])
23 (“Stine’s deliberate indifference claim seeking injunctive and declaratory relief has become moot
24 because Stine was transferred to another prison”); *Barrett v. Messer*, No. 1:20-cv-01313-NODJ-
25 CDB (PC), 2023 WL 9050992, at *9 (E.D. Cal. Dec. 29, 2023) (finding claims against defendants
26 “should be dismissed because the injunctive relief Plaintiff seeks is moot in light of his transfer
27 out of CSP”); *Greer*, 2021 WL 3857677, at *1 (finding plaintiff’s claim for injunctive relief
28 against county jail moot where he is incarcerated in state prison and there is no reasonable

1 expectation he will return to the county jail).

2 The Court will recommend Plaintiff's injunctive relief claims against the CIM Defendants
3 be granted.

4 ***C. Eighth Amendment Claims Based on COVID-19 Infection Risk***

5 Next, Defendants argue they are entitled to dismissal of all Plaintiff's COVID-19 claims
6 pursuant to Rule 12(b)(6) as they fail to state a claim upon which relief can be granted. (Doc. 34
7 at 20.)

8 Plaintiff argues he has stated claims upon which relief can be granted. (Doc. 36 at 9-12.)³
9 He contends he "suffered severe headaches from lack of oxygen ..., sleep deprivation, and
10 extreme anxiety" causing "infliction of pain." (Doc. 20 at 9). Plaintiff maintains the inability to
11 use his CPAP device was contrary to CDCR healthcare directives and that inability, and the
12 actions of Defendants Houston, Farooq, Torres, and Lemus in particular, were the cause of his
13 injuries. (*Id.*) Plaintiff cites to authority concerning the imminent danger exception to the Prison
14 Litigation Reform Act (PLRA) to support his position. (*Id.* at 12.)

15 Briefly stated, Defendants reply that Plaintiff's arguments in opposition are not relevant,
16 and that Plaintiff fails to address the relevant authority in support of their position. (Doc. 37 at 7-
17 10.)

18 As noted above, this action proceeds, in relevant part, on Plaintiff's COVID-19 related
19 Eighth Amendment deliberate indifference to serious medical needs claims against Defendants
20 Houston, Delgadillo, and Gilman as asserted in claim one, failure to protect claims against
21 Defendants Houston, Delgadillo, Farooq, Gilman, Lemus, and Torres as asserted in claim two,⁴
22 and failure to protect claims against Defendants Gonzales and Pilkerton as asserted in claim three.

23
24
25 ³ To the extent Plaintiff's opposition includes argument concerning his claims against Doe defendants or claims
26 unrelated to the Eighth Amendment, they are not recounted here. The challenge asserted by Defendants Houston,
27 Gilman, Delgadillo, Lemus, Farooq, Torres, Pilkerton and Gonzales is specific to Plaintiff's Eighth Amendment
28 claims against them. The Doe defendants have not appeared in this action.

⁴ Plaintiff's fourth cause of action involves an Eighth Amendment excessive force claim against Doe defendants—the
Doe defendants have not been identified, nor has any appearance been entered on those individuals' behalf—and a
failure to intervene claim against Defendant Pilkerton based upon the alleged use of excessive force.

1. Failure to State a Claim: Injury Related to COVID-19

First, Defendants assert Plaintiff's first amended complaint fails to allege an injury related to COVID-19. (Doc. 34 at 20-21.) Defendants maintain Plaintiff cannot rely on *Helling v. McKinney*, 509 U.S. 25, 33 (1993) to support his claim because he has alleged no past harm caused by COVID-19, nor a current risk of harm or any fact suggesting a substantial risk of COVID-19 is likely to recur. (*Id.* at 21.) Without such facts, Defendants argue "*Helling* does not, without more, allow Plaintiff to state a claim for relief based on Defendants' disregard of his COVID-19 infection risk." (*Id.*)

The Court finds Plaintiff has sufficiently alleged some injury related to COVID-19. In addition to the specific allegations related to the CPAP device, Plaintiff’s first amended complaint alleges he “suffered pain, discomfort, suffering, and emotional and psychological harm to his mental health.” (*See* Doc. 20 at 11, 15, 19.) Liberally construing the first amended complaint and affording Plaintiff the benefit of every doubt, his allegations of “pain, discomfort, suffering,” apart from the asserted emotional harm (i.e. “*and* emotional and psychological harm...”) in that context survive Defendants’ challenge concerning past and current harm. *Hebbe*, 627 F.3d at 342; *Neitzke*, 490 U.S. at 330 n.9.

Prisoner claims for future harm are cognizable under the Eighth Amendment. *See Helling*, 509 U.S. at 33 (“That the Eighth Amendment protects against future harm to inmates is not a novel proposition”). The Supreme Court has also stated, however, that “threatened injury must be certainly impending to constitute injury in fact, and that [a]llegations of possible future injury are not sufficient.” *Clapper v. Amnesty Int’l USA*, 568 U.S. 398, 409 (2013). Here, reading the first amended complaint liberally and affording Plaintiff the benefit of every doubt, the Court finds Plaintiff’s assertions sufficient concerning future harm. Plaintiff has asserted he is particularly vulnerable to the virus given his age and physical ailments: he is 62 years old, mobility impaired, has COPD with emphysema, congestive heart failure, hypertension, obesity, and atrial fibrillation. (Doc. 20 at 8.) He asserts his risk of contracting the virus is high given his age and physical ailments if he was housed in proximity or working with infected inmates or in close contact with infected staff persons. While it can be argued the risk of contracting COVID is not “certainly

1 impending,” there remains a substantial risk that the harm will occur where the COVID virus
2 remains a health concern. *Munns v. Kerry*, 782 F.3d 402, 409 (9th Cir. 2015) (“Rather than
3 requiring literal certainty, the Court has sometimes framed the injury requirement as a ‘substantial
4 risk’ that the harm will occur” (citing *Clapper*, 568 U.S. at 414 n.5). The Court will recommend
5 the motion to dismiss Plaintiff’s failure to protect claims against Defendants Houston, Delgadillo,
6 Gilman, and Lemus⁵ be denied.

7 Nevertheless, concerning Plaintiff’s specific allegations that Defendants failed to protect
8 him by failing to wear masks or by failing to feed inmates in their cells (*see* Doc. 20 at 12-14), his
9 claims are not actionable because he does not allege that he contracted COVID. Thus, Plaintiff
10 fails to allege facts demonstrating that Defendants’ failure to wear masks or to provide in cell
11 meals was the cause of his claimed injury. *See Jackson v. Surber*, No. 2:22-cv-01832-SB, 2023
12 WL 8257863, at *5 (D. Or. Nov. 29, 2023) (plaintiff failed to state Eighth Amendment claim
13 where he did not contract COVID-19 virus); *cf. Bennett v. Burton*, No. 2:21-cv-1340 WBS KJN
14 P, 2024 WL 1007311, at *7 (E.D. Cal. Mar. 8, 2024) (“plaintiff has sufficiently alleged that
15 defendant knew of the risks of COVID-19 and had authority to mitigate the risks, yet did nothing
16 to mitigate those risks, despite their ability to do so, and their failure to do so caused him to
17 contract COVID-19”). Based on masking and cell feeding, Court will recommend the motion to
18 dismiss Plaintiff’s failure to protect claims against Defendants Houston, Delgadillo, Gilman, and
19 Lemus be granted.

20 In summary, the undersigned finds Plaintiff’s Eighth Amendment deliberate indifference
21 to serious medical needs claims should proceed against Defendants Houston, Delgadillo, and
22 Gilman. However, Plaintiff’s Eighth Amendment failure to protect claims concerning masking
23 and feeding against Defendants Houston, Delgadillo, Gilman, and Lemus,⁶ should be dismissed
24 without leave to amend. *Hartmann v. CDCR*, 707 F.3d 1114, 1130 (9th Cir. 2013) (“A district
25 court may deny leave to amend when amendment would be futile”). As a result, the Court will

26 ⁵ The failure to protect claim against Defendant Lemus for confiscating the electrical cord to Plaintiff’s CPAP device
27 is not affected by this finding.

28 ⁶ The failure to protect claims against Defendants Gonzales and Pilkerton are addressed separately below.

1 recommend the motion be denied in part and granted in part on this basis.

2 2. Failure to State a Claim: Compensatory Damages

3 Second, Defendants contend Plaintiff fails to state a claim for compensatory damages
4 because his first amended complaint does not allege that he contracted COVID-19 or suffered
5 symptoms of that virus. (Doc. 34 at 21-22.) Defendants also maintain Plaintiff cannot recover
6 damages based upon a mental or emotional injury. (*Id.* at 22.)

7 Defendants primarily rely upon a 2011 decision from this district wherein the Court found
8 an inmate failed to state an Eighth Amendment conditions of confinement claim for damages
9 because the inmate did not describe the actual harm he suffered. (Doc. 34 at 22.) In that case, the
10 wheelchair bound inmate alleged certain conditions in his assigned dorm, including electrical
11 outlets causing shocks and cords running across the floors, as well as faulty ceiling and floor tiles,
12 posed hazards. *Van Nort v. Dickinson*, No. 2:09-cv-1566-KJN (TEMP) P, 2011 WL 2923979, at
13 *1 (E.D. Cal. July 15, 2011). The inmate asserted the cords “could be ‘stepped on, tripped over,
14 [or] run across with wheelchairs’” and that the “‘ceiling tiles are falling off and have hit Plaintiff
15 on many occasions,’ and that floor tiles have broken, ‘on one occasion caus[ing] the Plaintiff to
16 be thrown out of his wheelchair.’” *Id.* The Court found “the second amended complaint does not
17 aver any specific injury at all” and that while Plaintiff “alleges that he was shocked by the
18 electrical outlets near his bunk ... that allegation alone, without a description of the actual harm
19 suffered, does not suffice as a statement of injury that would, if proven, warrant an award of
20 damages.” *Id.* at *2. It held that if a misplaced electrical outlet “had caused plaintiff an injury, he
21 would have described it,” that plaintiff did not include a report of physical harm from hazardous
22 floor conditions, and that while he was “a bit more explicit about his lack of injury [from falling
23 ceiling tiles], ‘saying ‘it is only a matter of time before Plaintiff is seriously injured by one,’”
24 pleading “‘Only a matter of time’ is not a viable theory of liability” *Id.* at *3.

25 As noted above, the Court finds Plaintiff has sufficiently alleged injury as concerns
26 Plaintiff’s inability to use his CPAP device. Thus, to the extent he seeks compensatory damages
27 on that basis, his claim should proceed. Nevertheless, even giving Plaintiff the benefit of every
28 doubt, his allegations of “pain, discomfort, suffering, and emotional and psychological harm” due

1 to Defendants' COVID policies are insufficient. Plaintiff does not allege any COVID related
 2 symptoms (over and above those specific to the CPAP device), nor does he contend he contracted
 3 COVID. Therefore, Plaintiff's allegations amount to emotional or mental suffering, in the absence
 4 of a physical injury, and cannot prevail. *See Oliver v. Keller*, 289 F.3d 623, 626-628 (9th Cir.
 5 2002) (PLRA bars prisoner action for compensatory damages based solely on mental or
 6 emotional injury).

7 To summarize, Plaintiff's assertions of injuries related to his inability to use the CPAP
 8 device—severe headaches caused by a lack of oxygen and sleep deprivation—are cognizable.
 9 However, Plaintiff fails to sufficiently allege injuries related to a failure to mask or COVID-19
 10 policies. And permitting amendment in that regard would be futile where Plaintiff has never
 11 alleged that he contracted COVID-19. *Hartmann*, 707 F.3d at 1130. As a result, the Court will
 12 recommend the motion be denied in part and granted in part.

13 3. Failure to State a Claim: Defendants Houston, Gilman & Delgadillo

14 Third, Defendants maintain Plaintiff's Eighth Amendment deliberate indifference claims
 15 against Defendants Houston and Gilman for housing reassignments between March 19, 2020 and
 16 April 16, 2020, as well as his Eighth Amendment deliberate indifference claims against
 17 Defendants Gilman and Delgadillo, arising March 20, 2020, from their requirement that Plaintiff
 18 perform barbering duties, "should be dismissed to the extent that it is based on conduct alleged to
 19 have occurred before March 28, 2020." (Doc. 34 at 23.) They argue that before CIM went on
 20 lockdown it would not have been obvious to Defendants Houston, Gilman, or Delgadillo that any
 21 CIM inmate faced a substantial risk of COVID-19 infection. (*Id.*) Defendants assert that those
 22 individuals could not have been subjectively aware of the risk Plaintiff's faced prior to the CIM
 23 lockdown because Executive Order N-33-20 alone did not serve to notify them that Plaintiff faced
 24 a substantial risk of infection. The executive order does not refer to prisoners or barbering, nor
 25 does it disclose any medical or scientific information identifying those particularly vulnerable to
 26 COVID-19 infection. Hence, Defendants contend Houston, Gilman, and Delgadillo are entitled to
 27 dismissal of Plaintiff's Claim One based on their alleged disregard of Plaintiff's infection risk.
 28 (*Id.* at 24.)

1
2 Liberalizing construing the first amended complaint and affording Plaintiff the benefit of
3 every doubt, the undersigned finds Plaintiff has alleged sufficient facts to meet the subjective
4 prong of the deliberate indifference test, both as to the housing assignments and barbering duties
5 for the reasons explained at screening. (*See* Doc. 24 at 9-10.) The content and timing of the
6 governor's executive order does not change the analysis at this stage of the proceedings. Whether
7 Plaintiff can ultimately prove his allegations is a discussion for another day. The Court will
8 recommend Defendants' motion be denied on this basis.

9 4. Failure to State a Claim: Defendants Farooq and Torres

10 Fourth, Defendants contend Plaintiff fails to state a claim against Defendants Farooq and
11 Torres (Claim Two) because the only conduct alleged as to these individuals involves their
12 issuing a memorandum concerning suspension of the use of CPAP devices purportedly in
13 contradiction of a statewide memorandum. (Doc. 34 at 24-25.) The first amended complaint does
14 not allege Farooq or Torres directly treated or interacted with Plaintiff or were aware of his
15 medical conditions. (*Id.* at 25.) Defendants further argue Plaintiff's first amended complaint states
16 Defendant Lemus was the cause of Plaintiff's inability to use his CPAP device because Lemus
17 confiscated its electrical cord rendering it inoperable and "demonstrating that, from April 9, 2020
18 until April 14, 2020, the CPAP device worked, and in turn, Plaintiff had it." (*Id.*) Defendants
19 contend Farooq and Torres "cannot be liable [for] depriving Plaintiff of single-cell housing so
20 that he could continue using the CPAP according to a statewide directive issued the previous day"
21 and that neither was "responsible for adjusting Plaintiff's classification or custodial status to
22 implement the statewide directive at CIM" or ordering Plaintiff's double-celling. (*Id.*)

23 Plaintiff's first amended complaint identifies Defendant Torres as "Chief Medical
24 Surgeon" (Doc. 20 at 4) and Defendant Farooq as "Chief Medical Executive" (*id.* at 5) at CIM.
25 The Court agrees Plaintiff does not allege Farooq or Torres treated or interacted with Plaintiff,
26 nor does the first amended complaint specifically allege either was aware of Plaintiff's medical
27 conditions. As supervisors, Plaintiff must sufficiently allege that Farooq and Torres were
28 personally involved in the constitutional deprivation, or that there is a sufficient causal connection

1 between their wrongful conduct and the constitutional violation. *Crowley v. Bannister*, 734 F.3d
2 967, 977 (9th Cir. 2013). “Under the latter theory, ‘[s]upervisory liability exists even without
3 overt personal participation in the offensive act if supervisory officials implement a policy so
4 deficient that the policy “itself is a repudiation of constitutional rights” and is “the moving force
5 of a constitutional violation.”’ *Id.* (quoting *Hansen v. Black*, 885 F.2d 642, 646 (9th Cir. 1989))
6 (citation omitted).

7 Liberally construing the complaint and affording Plaintiff the benefit of every doubt, by
8 asserting that Farooq and Torres issued an April 9, 2020 “memorandum directing all inmate[s] at
9 CIM, including Plaintiff, to suspend all use of their C-Pap devices” without medical consultation,
10 Plaintiff has sufficiently alleged they implemented a policy so deficient that the policy is a
11 repudiation of constitutional rights (adequate health care) and was the moving force of the
12 violation (an inability to use the prescribed CPAP device). While Plaintiff’s first amended
13 complaint does allege that Defendant Lemus confiscated the electrical cord to Plaintiff’s CPAP
14 device on April 14, 202, it could be reasonably inferred that Lemus’s action was taken pursuant to
15 the memorandum issued by Defendants Farooq and Torres. Notably too, the first amended
16 complaint indicates Plaintiff was housed in “a makeshift dorm” lacking “accessible electrical
17 outlets” that would permit the use of Plaintiff’s CPAP device. To the extent Plaintiff’s housing in
18 the makeshift dorm prevented use of the CPAP device, and because the first amended complaint
19 is not clear concerning the dates and specific locations pertaining to Plaintiff’s housing “in four
20 different buildings, on six separate occasions, including March 19th, and April 2nd, 8th, 11th,
21 14th, and 16th, 2020” (Doc. 20 at 9), there may be overlap affecting Plaintiff’s ability to use the
22 CPAP device even if he was in possession of the device prior to April 14, 2020, when Defendant
23 Lemus confiscated its cord. Defendants’ argument that neither Farooq nor Torres are responsible
24 for Plaintiff’s classification or custodial status goes beyond a consideration of the allegations in
25 Plaintiff’s first amended complaint. *See Manzarek*, 519 F.3d at 1030-31.

26 At this stage, the undersigned finds that Plaintiff’s claim should be permitted to proceed.
27 Whether he can ultimately prove Farooq and Torres implemented a constitutionally deficient
28 policy concerning the suspension of CPAP devices is a determination for another day. The Court

1 will recommend Defendants' motion to dismiss be denied on this basis.

2 ***D. Fourteenth Amendment Equal Protection***

3 Defendants argue Plaintiff fails to establish intentional discrimination by Defendant
4 Pilkerton, asserting his equal protection claim should be dismissed. (Doc. 34 at 25-26.)

5 Plaintiff's first amended complaint asserts that Defendant Gonzales issued Plaintiff and
6 another inmate "a disciplinary report for failing to socially distance from one another" but other
7 inmates in the building were not required to socially distance. (Doc. 20 at 16-17.) Plaintiff was
8 ultimately found guilty by Defendant Pilkerton following a disciplinary hearing and assessed a
9 30-day loss of credits and dayroom privileges. (*Id.* at 17-18.) Plaintiff also alleges Inmate Conley
10 was later notified that his disciplinary report was dismissed without a hearing and that Conley
11 was "found not guilty of his disciplinary for lack of evidence that social distancing was a
12 chargeable offense." (*Id.* at 18.)

13 Following screening, the Court found Plaintiff plausibly stated an equal protection
14 violation against Defendant Pilkerton, noting "Plaintiff has asserted that he and another inmate
15 were issued a disciplinary report for failing to socially distance and that Plaintiff was intentionally
16 treated differently than the other inmate ... where there was no basis for the differen[ce] in
17 treatment and Conley was found not guilty following issuance of the same disciplinary report, but
18 Plaintiff was assessed loss of good time credits and dayroom privileges for the same conduct."
19 (Doc. 24 at 21; Doc. 26 [adopting findings].)

20 The Equal Protection Clause requires that all persons who are similarly situated should be
21 treated alike. *Lee v. City of Los Angeles*, 250 F.3d 668, 686 (9th Cir. 2001); *City of Cleburne v.*
22 *Cleburne Living Center*, 473 U.S. 432, 439 (1985). To state an equal protection claim, a plaintiff
23 must show that the defendants acted with an intent or purpose to discriminate against him based
24 on membership in a protected class. *Lee*, 250 F.3d at 686; *Barren v. Harrington*, 152 F.3d 1193,
25 1194 (9th Cir. 1998), cert. denied, 525 U.S. 1154 (1999). Alternatively, a plaintiff must show that
26 similarly situated individuals were intentionally treated differently without a rational relationship
27 to a legitimate state purpose. *Thornton v. City of St. Helens*, 425 F.3d 1158, 1167 (9th Cir. 2005);
28 *Village of Willowbrook v. Olech*, 528 U.S. 562, 564 (2000).

1 Defendants contend Plaintiff's claim is premised on his having been found guilty of a
2 disciplinary charge related to social distancing while the other inmate involved was found not
3 guilty of the same. (Doc. 34 at 25-26.) They maintain Plaintiff's first amended complaint does not
4 assert Pilkerton was involved in Inmate Conley's disciplinary action or aware of its outcome. (*Id.*)
5 They note the first amended complaint "states specifically that inmate Conley's disciplinary
6 action was subsequently dismissed without a hearing ... which demonstrates that Pilkerton—at
7 the time she disciplined Plaintiff—could not have been aware of the outcome of Conley's
8 disciplinary action and, in turn, could not have intentionally treated Plaintiff differently." (*Id.*)

9 The dismissal of Conley's disciplinary report without a hearing might well demonstrate
10 Pilkerton was not aware of the outcome of Conley's disciplinary matter, and thus could not have
11 intentionally treated Plaintiff differently. However, Plaintiff's first amended complaint also states
12 Conley was "found not guilty of his disciplinary for lack of evidence that social distancing was a
13 chargeable offense." (Doc. 20 at 18.) To the extent a dismissal and a finding of not guilty appear
14 contradictory, liberally construing the first amended complaint and affording Plaintiff the benefit
15 of every doubt, the Court finds that Plaintiff has sufficiently alleged an equal protection violation
16 because it is reasonable to infer from his allegations that Pilkerton was aware of the Conley
17 disciplinary proceedings and acted with discriminatory motive. *Iqbal*, 556 U.S. at 678; *Balistreri*,
18 901 F.2d at 699; *Hebbe*, 627 F.3d at 342. Therefore, the Court will recommend Defendants'
19 motion to dismiss Plaintiff's equal protection violation be denied.

20 ***E. Eighth Amendment Failure to Intervene***

21 Defendants contend Plaintiff's failure to intervene claim against Defendant Pilkerton
22 (Claim Four) must be dismissed because the first amended complaint itself demonstrates that
23 Pilkerton did not have an opportunity to intercede. (Doc. 34 at 27.) Defendants point to Plaintiff's
24 assertion that the assault "was completely non provoked," and maintain that in the absence of
25 provocation or other facts suggesting awareness, Plaintiff has not shown Pilkerton was aware that
26 the unnamed officers "were going to use force and, thus, [that Pilkerton] was in a position to stop
27 those officers' use of force on Plaintiff." (*Id.*) Defendants maintain the first amended complaint
28 does not allege Pilkerton witnessed the use of force and that it indicates the force was employed

1 “as Plaintiff ‘was being physically removed from [Pilkerton]’s office.” (*Id.*)

2 Plaintiff’s first amended complaint alleges he was assaulted “in the presence of Pilkerton”
 3 (Doc. 20 at 19), as he was “being physically removed from [her] office” (*id.*), and that Pilkerton
 4 “*personally observed* the assault on Plaintiff ...” (*id.* at 20, italics added). From these facts it can
 5 be reasonably inferred that Pilkerton was aware of the unnamed officers’ actions and that she had
 6 an opportunity to intervene. The Court is not persuaded that Plaintiff’s statement that the “assault
 7 on plaintiff was completely non provoked” equates to a demonstration that Pilkerton lacked such
 8 an opportunity. Unlike the allegations in *Lopez v. City of Anaheim*, No. SACV 22-1351 JVS
 9 (ADSx), 2023 WL 4681059, at *5 (C.D. Cal. June 7, 2023), here it is not clear the assault
 10 occurred “‘immediately’ and ‘without warning’” or that Pilkerton had no realistic opportunity to
 11 intercede. The Court will recommend Defendants’ motion be denied on this basis.

12 ***F. Injunctive Relief re Rules Violation Report Guilt Finding***

13 In his first amended complaint, Plaintiff seeks to “Require Defendants to Reverse the
 14 Rules Violations Guilty finding.” (Doc. 20 at 7.)

15 Defendants contend Plaintiff’s request for an injunction reversing the guilty finding for
 16 failing to socially distance is not cognizable under the PLRA. (Doc. 34 at 27-28.) They maintain
 17 that reversing the guilty finding “would necessarily ‘extend ... further than [is] necessary to
 18 correct’ ... either” the due process or the equal protection violation asserted. (*Id.* at 28.) Rather,
 19 they assert the only cognizable injunction would involve an administrative rehearing of the
 20 disciplinary hearing consistent with the Fourteenth Amendment. (*Id.*)

21 Requests for prospective relief are limited by 18 U.S.C. § 3626(a)(1)(A) of the PLRA,
 22 which requires that the Court find the “relief [sought] is narrowly drawn, extends no further than
 23 necessary to correct the violation of the Federal right, and is the least intrusive means necessary to
 24 correct the violation of the Federal right.” Subsection (B) states a court “shall not order any
 25 prospective relief ..., unless [¶] (i) Federal law requires such relief to be ordered in violation of
 26 State or local law; [¶] (ii) the relief is necessary to correct the violation of a Federal right; and [¶]
 27 (iii) no other relief will correct the violation of the Federal right.”

28 To the extent Plaintiff’s first amended complaint seeks prospective relief in the form of a

1 reversal of the guilty finding, the Court notes that should Plaintiff prevail on his Fourteenth
2 Amendment claims, the remedy for an unfair hearing is another hearing. In other words, an order
3 from this Court entering a reversal of the rule violation report would amount to improper
4 prospective relief because (1) federal law does not require the relief be ordered in violation of
5 state or local law, (2) the relief is not necessary to correct the violation, and (3) other relief is
6 available in the form of another hearing. 18 U.S.C. § 3626(a)(1)(B); *see, e.g., Shotwell v. Brandt*,
7 No. C 10-5232 CW (PR), 2012 WL 6569402, at *3 (N.D. Cal. Dec. 17, 2012) (“California state
8 prison regulations allow for the reissuance and rehearing of disciplinary charges after a prior
9 hearing is found procedurally inadequate. ... This complies with the demands of federal due
10 process, which requires that a violation of procedural due process be corrected procedurally, not
11 by reinstatement of the substantive right. That is, the remedy for an unfair hearing is another
12 hearing” (citing *Raditch v. United States*, 929 F.2d 478, 481 (9th Cir. 1991)).

13 The Court will recommend Defendants’ motion to dismiss be granted.

14 ***G. Plaintiff’s Third Claim: Eighth Amendment Failure to Protect***

15 Plaintiff’s third claim for relief, in relevant part, asserts Defendants Gonzales and
16 Pilkerton failed to protect Plaintiff by refusing to wear masks on numerous occasions. (Doc. 20 at
17 16.) Following screening of the first amended complaint, the undersigned found Plaintiff had
18 plausibly alleged Eighth Amendment failure to protect claims against Defendants Gonzales and
19 Pilkerton concerning their refusals to wear a mask and follow CDCR directives. (*See* Doc. 24 at
20 21.) However, because Plaintiff fails to allege that he contracted COVID-19, he fails to state
21 Eighth Amendment failure to protect claims against Defendants Gonzales and Pilkerton. *See*
22 *Jackson*, 2023 WL 8257863, at *5. Hence, the Court will recommend the Eighth Amendment
23 failure to protect claims against Gonzales and Pilkerton be dismissed without leave to amend
24 because amendment would be futile. *Hartmann*, 707 F.3d at 1130. It will also recommend that
25 Gonzales be dismissed from this action because the Eighth Amendment failure to protect claim
26 was the only claim proceeding against Gonzales in this action.

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28 ///

IV. CONCLUSION AND RECOMMENDATIONS

For the reasons stated above, the Court **RECOMMENDS** as follows:

1. Defendants' motion to dismiss for a lack of subject matter jurisdiction concerning compensatory damages be DENIED;
2. Defendants' motion to dismiss for lack of subject matter jurisdiction concerning injunctive relief be GRANTED;
3. Defendants' motion to dismiss the injunctive relief claims against the CIM Defendants (Houston, Gilman, Delgadillo, Lemus, Farooq, Torres) be GRANTED without leave to amend;
4. Defendants' motion to dismiss for a failure to state a claim concerning an injury related to COVID-19 be DENIED in part and GRANTED in part:
 - a. Plaintiff's Eighth Amendment deliberate indifference to serious medical needs claims should proceed against Defendants Houston, Delgadillo, and Gilman;
 - b. Plaintiff's Eighth Amendment failure to protect claims concerning masking and feeding against Defendants Houston, Delgadillo, Gilman, and Lemus should be dismissed without leave to amend;
5. Defendants' motion to dismiss for failure to state a claim concerning compensatory damages be DENIED in part and GRANTED in part:
 - a. Plaintiff's compensatory damages claims concerning injuries related to his inability to use the CPAP device should proceed;
 - b. Plaintiff's compensatory damages claims concerning failures to mask or COVID-19 policies should be dismissed without leave to amend;
6. Defendants' motion to dismiss for failure to state a claim concerning Plaintiff's allegations, involving housing assignments and barbering duties, against Defendants Houston, Gilman, and Delgadillo be DENIED;
7. Defendants' motion to dismiss for failure to state a claim concerning Plaintiff's allegations against Defendants Farooq and Torres be DENIED;
8. Defendants' motion to dismiss Plaintiff's Fourteenth Amendment equal protection

claim be DENIED;

9. Defendants' motion to dismiss Plaintiff's Eighth Amendment failure to intervene claim against Defendant Pilkerton be DENIED;

10. Defendants' motion concerning Plaintiff's request for prospective injunctive relief be GRANTED;

11. Plaintiff's Eighth Amendment failure to protect claims against Defendants Gonzales and Pilkerton for failing to mask should be DISMISSED without leave to amend;

12. Defendant Gonzales should be DISMISSED from this action; and

13. Defendants be DIRECTED to file an answer to Plaintiff's first amended complaint and in accordance with these findings, within 30 days, assuming these findings are adopted by the assigned district judge.

These Findings and Recommendations will be submitted to the district judge assigned to this case, pursuant to 28 U.S.C. § 636(b)(1). **Within 14 days** of the date of service of these Findings and Recommendations, a party may file written objections with the Court. The document should be captioned, "Objections to Magistrate Judge's Findings and Recommendations." Failure to file objections within the specified time may result in waiver of rights on appeal. *Wilkerson v. Wheeler*, 772 F.3d 834, 839 (9th Cir. 2014) (citing *Baxter v. Sullivan*, 923 F.2d 1391, 1394 (9th Cir. 1991)).

IT IS SO ORDERED.

Dated: **August 12, 2024**


UNITED STATES MAGISTRATE JUDGE